



PATENT
ATTORNEY DOCKET NO. 10498-00028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
 Kenneth H. Falchuk)
)
 Serial No.: 10/008,356)
)
 Filed: November 13, 2001)
)
 Title: COMPOUNDS AND METHODS FOR)
 REGULATING CELL DIFFERENTIATION)

Examiner:

Art Unit: 1646

RECEIVED
FEB 21 2002
TECH CENTER 1600/2900

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:


Pursuant to 37 C.F.R. §§ 1.97-1.98, Applicant submits the references listed on the enclosed PTO form 1449. Copies of these references are enclosed. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. § 1.56 and is being filed before the receipt of a first Office Action on the merits.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. § 102 or § 103.

Please apply any charges or credits to Deposit Account No. 19-0733.

Respectfully submitted,

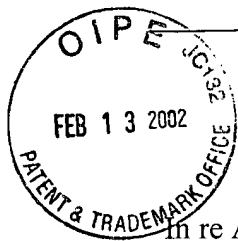
Dated: February 13, 2002

By 
John P. Iwanicki, Reg. No. 34,628
BANNER & WITCOFF, LTD.
28 State Street, 28th Floor
Boston, MA 02109
(617) 227-7111

02-14-02

3

GP 1646
#3



PATENT
ATTORNEY DOCKET NO. 10498-00028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kenneth H. Falchuk

Serial No.: 10/008,356

Filed: November 13, 2001

Title: COMPOUNDS AND METHODS FOR
REGULATING CELL DIFFERENTIATION

Examiner:

Art Unit: 1646

RECEIVED
FEB 21 2002
TECH CENTER 1600/2900

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

In regard to the above identified application, we are transmitting herewith the attached:

1. Information Disclosure Statement,
2. Form 1449,
3. Copies of five (5) references, and
4. Return postcard.

With respect to additional fees, no additional fee is required. The Commissioner is hereby authorized to charge any additional fees or credit overpayment to Deposit Account No. 19-0733.

Respectfully submitted,

Dated: February 13, 2002

By [Signature]
John P. Iwanicki, Reg. No. 34,628
BANNER & WITCOFF, LTD.
28 State Street, 28th Floor
Boston, MA 02109
(617) 227-7111